

Exhibit 10
Licenses

EA 01 - 1 - 5

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 "H" STREET, N.W., WASHINGTON, D.C. 20005

ORDER

February 28, 2001

EA-01-1, IN THE MATTER OF THE APPLICATION OF AOBA ALLIANCE INC. FOR AN ELECTRICITY SUPPLIER LICENSE, ORDER NO. 11932

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants AOBA Alliance Inc.'s ("AAI" or "Applicant") application for a license as an electricity supplier in the District of Columbia ("District").¹ Specifically, AAI intends to operate as a broker and/or aggregator of electricity for sale in the District pursuant to Section 105 of the "Retail Electric Competition and Consumer Protection Act of 1999."

2. On January 29, 2001, AAI filed its license application.² Pursuant to the Commission's licensing requirements, as set forth in Order No. 11796,³ the Applicant provided the following information:

A) Proof of technical and managerial competence;

B) Proof of compliance with all applicable requirements of the Federal Energy Regulatory Commission and any Independent System Operator or regional or system transmission operator to be used by the Applicant;

C) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations;

D) Proof of financial integrity;

E) Proof that the Applicant has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;

¹ Section 101(17) of the "Retail Electric Competition and Consumer Protection Act of 1999," defines, in pertinent part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or, markets electricity for sale to customers."

² See Application of AOBA Alliance Inc. for Approval to Offer, Render, Furnish, or Supply Electricity, Natural Gas or Energy Related Services as a Broker and/or Aggregator to the Public in the District of Columbia (January 29, 2001).

³ See Formal Case No. 945, Order No. 11796, Attachment C (September 18, 2000).

F) An affidavit that AAI is subject to all applicable taxes;

G) An affidavit stating that the Applicant will comply with all of the requirements of the "Retail Electric Competition and Consumer Protection Act of 1999" ("Act") and all orders and regulations of the Commission issued under the Act; and,

H) Applicant's website address.

3. Although AAI seeks licensure to broker and/or aggregate natural gas as well as electricity, the Commission presently does not license suppliers of natural gas, but will begin to license natural gas suppliers in the near future.⁴ Therefore, this Order only addresses those aspects of the Application related to the provisioning of retail electric services.

4. After careful review of the Application and the supporting documentation, AAI's Application did not meet all of the Commission's criteria for financial integrity to ensure that granting the Applicant a license would serve the public interest. Therefore, AAI was required to submit an Integrity Bond in the amount of \$10,000.00, pursuant to Commission Order No. 11862.⁵ With its Application, AAI submitted the required Integrity Bond.⁶

5. The Commission finds that granting AAI's Application will serve the public interest. The information provided in the Application and the submission of the Integrity Bond demonstrates that AAI has the ability and the financial integrity to serve the electricity customers of the District.

THEREFORE, IT IS HEREBY ORDERED THAT:

6. The Application of AAI for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

CHIEF CLERK


JESSE P. CLAY, JR.
COMMISSION SECRETARY

⁴ See GT 96-3, Order No. 11928 at 9. (In Order No. 11928, the Commission ordered the formation of a Working Group to develop licensing and certification standards, improve customer education, develop customer protection standards, and develop incentives to increase third party supplier participation.)

⁵ See Formal Case No. 945, Order No. 11862 (December 18, 2000).

⁶ See Application at Attachment 10, Surety Bond No. 53S103303122 (January 24, 2001).

GA - 06 - 1 - 9

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET, N.W., SUITE 200, WEST TOWER
WASHINGTON, D.C. 20005

ORDER

July 25, 2006

FORMAL CASE NO. GA 06-1, IN THE MATTER OF THE APPLICATION OF AOBA,
ALLIANCE INC, FOR A NATURAL GAS SUPPLIER LICENSE, Order No. 14012

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants AOBA Alliance, Inc. ("AOBA" or "Applicant"), a license as a natural gas supplier in the District of Columbia ("District").¹ Specifically, AOBA intends to operate as a supplier of natural gas for sale to commercial customers in the District of Columbia, pursuant to Commission Order No. 12709.²

2. On March 1, 2006, AOBA filed its license application.³ Pursuant to the Commission's licensing requirements as set forth in Order No. 12709,⁴ AOBA has provided the following information:

- A) Proof of technical and managerial competence;
- B) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated February 28, 2006;
- C) Proof of financial integrity;
- D) Proof that AOBA has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- E) An affidavit that AOBA is subject to all applicable taxes;

¹ See GT 96-3, *In the Matter of the Application of Washington Gas Light Company, District of Columbia Division, for Authority to Establish a New Rate Schedule No. 1A*, Order No. 12709, as revised by Order No. 12903. Attachment A to Order No. 12709 defines a natural gas supplier as "a licensed person, broker, or marketer, who generates natural gas; sells natural gas; or purchases, brokers, arranges or markets natural gas for sale to customers."

² See GT 96-3, Order No. 12709, as revised by Order No. 12903; and generally, D.C. Act 14-48, "Prevention of Unauthorized Switching of Customer Natural Gas Accounts Temporary Act of 2001." The Commission is directed to govern the licensing of Natural Gas Suppliers and other market participants.

³ See GA 06-1, *In the Matter of the Application of AOBA Alliance, Inc. for a Natural Gas Supplier License*, Application of AOBA Alliance, Inc., filed March 1, 2006.

⁴ See GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C, rel. April 25, 2003.

- F) An affidavit dated April 23, 2006, stating that Constellation New Energy will comply with all of the requirements of all orders and regulations of the Commission; and
- G) AOBA's website address: www.aobaalliance.com.

3. After review of the Application, the Commission finds that granting AOBA Alliance, Inc.'s Application will serve the public interest. The information provided in its Application, demonstrates that AOBA has the ability and the financial integrity to serve the natural gas customers of the District of Columbia.

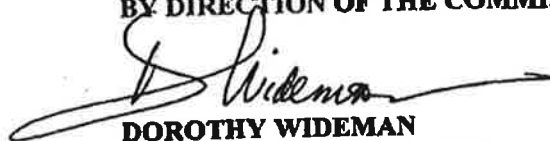
THEREFORE, IT IS ORDERED THAT:

4. The Application of AOBA Alliance Inc. for a license to conduct business in the District of Columbia as a natural gas supplier is hereby **GRANTED**.

A TRUE COPY:

CHIEF CLERK

BY DIRECTION OF THE COMMISSION:



**DOROTHY WIDEMAN
COMMISSION SECRETARY**

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

AOBA Alliance, Inc.

**Application for Licensure of Agents,
Brokers and Consultants under Section
16-115C of the Public Utilities Act.**

16-0418

ORDER

By the Commission:

I. INTRODUCTION

On August 31, 2016, AOBA Alliance, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Since all of the information to grant the requested relief was contained in the Application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of the District of Columbia and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision of services in the

electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment 3 to the Application. Attachment 3 to the Application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment 3 contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment 4. Attachment 4 contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that AOBA Alliance, Inc. is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) AOBA Alliance, Inc., a business organized under the laws of the District of Columbia and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) AOBA Alliance, Inc. has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) AOBA Alliance, Inc. has complied with Section 16-115C of the Act and Part 454; and
- (6) AOBA Alliance, Inc. should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that AOBA Alliance, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that AOBA Alliance, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 5th day of October, 2016.

(SIGNED) BRIEN SHEAHAN

Chairman

COMMISSIONERS

GLENN F. IVEY
CHAIRMAN

AUDE M. LIGON
SUSANNE BROGAN
CATHERINE I. RILEY
J. JOSEPH CURRAN, III

STATE OF MARYLAND



SUSAN S. MILLER
GENERAL COUNSEL

FELECIA L. GREER
EXECUTIVE SECRETARY

GREGORY V. CARMEAN
EXECUTIVE DIRECTOR

PUBLIC SERVICE COMMISSION

#10, 7/19/00 AM; ML#s 72398,
72442 and 72737

License Reference No.: IR-267

July 19, 2000

Ms. Frann G. Francis
AOBA Alliance, Inc.
1050 17th Street, N.W., Suite 300
Washington, DC 20036

Dear Ms. Francis:

On May 26, 2000, AOBA Alliance, Inc. filed an Application for a license to provide electricity broker services in Maryland pursuant to Commission Order No. 75608 issued on September 10, 1999 in Case No. 8738. AOBA Alliance, Inc. proposes to provide electricity broker services throughout Maryland for commercial customers without any restrictions to the size or customer class.

After considering this matter at the July 19, 2000 Administrative Meeting, the Commission granted AOBA Alliance, Inc. a license to supply electricity and electric generation services in Maryland in accordance with its Application (License Reference Number IR-267). The license granted by the Commission under this Letter Order is limited to broker services only. Before providing service in Maryland, AOBA Alliance, Inc. is hereby directed to file the appropriate service agreements with Maryland electric companies to comply with the licensing requirements.

Finally, AOBA Alliance, Inc. is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license.

By Direction of the Commission,

A handwritten signature in cursive script, reading "Felecia L. Greer".
Felecia L. Greer
Executive Secretary

FMW

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495

COMMISSIONERS

CATHERINE I. RILEY
CHAIRMAN

CLAUDE M. LIGON
J. JOSEPH CURRAN, III
GAIL C. McDONALD
RONALD A. GUNS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

SUSAN S. MILLER
GENERAL COUNSEL

FELECIA L. GREER
EXECUTIVE SECRETARY

GREGORY V. CARMEAN
EXECUTIVE DIRECTOR

#13, 8/22/01 AM; ML#s 78662
and 78845

License Reference No.: IR-375

August 22, 2001

Ms. Frann G. Francis
AOBA Alliance, Inc.
1050 17th Street, NW, Suite 300
Washington, D.C. 20036

Dear Ms. Francis:

On July 13, 2001, AOBA Alliance, Inc. filed an Application for a license to provide natural gas or natural gas supply services in Maryland pursuant to Commission Order No. 76643 issued on December 15, 2000 in Case No. 8846. AOBA Alliance, Inc. proposes to provide natural gas broker and aggregator services throughout Maryland for industrial and commercial customers without any restrictions to the number and size of end use customers.

After considering this matter at the August 22, 2001 Administrative Meeting, the Commission granted AOBA Alliance, Inc. a license to provide natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-375). The license granted by the Commission under this Letter Order is limited to natural gas broker and aggregator services only.

Finally, AOBA Alliance, Inc. is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license.

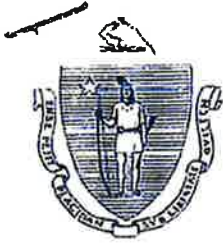
By Direction of the Commission,

A handwritten signature in dark ink, appearing to read "Felecia L. Greer".
Felecia L. Greer
Executive Secretary

rmw

cc: Ms. Eunice J. Dingle, AOBA Alliance Inc.

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806
410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

JOLETTE A. WESTBROOK
COMMISSIONER

ROBERT E. HAYDEN
COMMISSIONER

May 16, 2016

Frann Francis
AOBA Alliance, Inc.
1050 17th Street, NW, Suite 300
Washington, DC 20036

Ms. Francis,

The Department of Public Utilities has reviewed AOBA Alliance, Inc.'s ("AOBA") application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved AOBA's license number is **RA-159**.

As a condition of maintaining its license, AOBA must file updated information within 30 days of any material change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of AOBA's license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

Sincerely,


Mark D. Marini, Secretary



The Commonwealth of Massachusetts

DEPARTMENT OF PUBLIC UTILITIES

ONE SOUTH STATION, 5TH FLOOR
BOSTON, MA 02110
617-305-3500

Gas Retail Agent License Application for New Applicants Pursuant to 220 C.M.R. § 14.00 et seq.

REQUIRES ANNUAL FEE and ANNUAL RENEWAL

Please submit an original, one copy, and an electronic copy on CD-ROM formatted for Microsoft Word to the address above along with a \$100.00 annual fee.

I. GENERAL BUSINESS INFORMATION

1. Legal Name of Applicant:

AOBA Alliance, Inc.

2. Business Address:

1050 17th Street, NW, Suite 300
Washington, DC 20036

3. If a corporation, association, or partnership:

(a) Organized under the laws of which state:

District of Columbia

(b) Date of organization:

March 27, 2000

(c) Attach a copy of the articles of incorporation, association, partnership agreement or other document regarding legal organization.

See Attachment 1

(d) Please, attach a copy of the by-laws.

See Attachment 2

4. Name, Title, and Business Address of all Officers and Directors, Partners, or other similar Officials:

See Attachment 3





Philip D. Murphy
Governor

Sheila Y. Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch
Secretary of the Board
(609) 292-1599

June 22, 2018

David L. Farmer
President
AOBA Alliance, Inc.
1050 17th Street, NW Suite 300
Washington, D.C. 20036

Re: **Energy Agent Initial Registration**
Docket No. EE17060660L

Dear Mr. Farmer:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its June 22, 2018 Agenda meeting, the New Jersey Board of Public Utilities voted to issue a **REGISTRATION** as an Energy Agent to AOBA Alliance, Inc. Your registration number is EA-0497.

The enclosed registration is effective June 22, 2018 and expires on June 21, 2019. This registration and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Jehvson Espiritu at (609) 292-0744.

Sincerely,

A handwritten signature in cursive script that reads "Aida Camacho-Welch".

Aida Camacho-Welch
Secretary of the Board

ACW/joe
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

AOBA Alliance, Inc.
1050 17th Street, NW Suite 300
Washington, D.C. 20036

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0497
Effective Date: June 22, 2018
Expiration Date: June 21, 2019

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
Harrisburg, PA 17105-3265**

Public Meeting held October 14, 2010

Commissioners Present:

James H. Cawley, Chairman
Tyrone J. Christy, Vice Chairman
John F. Coleman, Jr.
Wayne E. Gardner
Robert F. Powelson

License Application of AOBA Alliance, Inc. for
Approval to Offer, Render, Furnish or Supply
Electricity or Electric Generation Services as a
Broker/Marketer

A-2010-2197104

ORDER

BY THE COMMISSION:

On September 2, 2010, AOBA Alliance, Inc. (AOBA) filed an application seeking to become a licensed electric generation supplier (EGS) in the electric distribution company (EDC) service territories of Allegheny Power, Duquesne Light Company, Metropolitan Edison Company, Pennsylvania Electric Company, Pennsylvania Power Company, PECO Energy Company, PPL Electric Utilities, Inc., and UGI Utilities, Inc. within the Commonwealth of Pennsylvania. The application was filed pursuant to the Commission's regulations at 52 Pa. Code §§ 54.31-54.43, which became effective on August 8, 1998, and which were established under section 2809 of the Public Utility Code, 66 Pa. C.S. § 2809.

§ 2809 provides, in pertinent part, that:

License Requirement.--No person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators and other entities, shall engage in the business of an electric generation supplier in this Commonwealth unless the person or corporation holds a license issued by the Commission.

An electric generation supplier is defined as:

A person or corporation, including municipal corporations which choose to provide service outside their municipal limits except to the extent provided prior to the effective date of this chapter, brokers and marketers, aggregators or any other entities, that sells to end-use customers electricity or related services utilizing the jurisdictional transmission and distribution facilities of an electric distribution company, or that purchases, brokers, arranges or markets electricity or related services to end-use customers utilizing the jurisdictional transmission and distribution facilities of an electric distribution company.

66 Pa. C.S. § 2803 (in pertinent part).

AOBA is a foreign corporation, incorporated in the District of Columbia, and registered in the Commonwealth of Pennsylvania as of July 22, 2010. AOBA proposes to act as a broker/marketer to small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial and government customers in EDC service territories throughout the Commonwealth of Pennsylvania. AOBA will operate as a broker/marketer that acts as an intermediary in the sale and purchase of electricity. AOBA will not take title to electricity nor will AOBA pay electric bills on behalf of its clients. Currently, AOBA does not intend to provide these services to residential customers. Therefore, Chapter 56 residential service regulations do not apply.

If AOBA chooses to provide broker/marketer services to residential customers in the future, however, AOBA must file a request for a license modification with

the Commission Secretary by letter immediately and shall be required to comply with, and be governed by, applicable Chapter 56 residential service regulations as set forth in the Commission Order *Guidelines for Maintaining Customer Service at the Same Level of Quality Pursuant to 66 Pa. C.S. § 2807(d), and Assuring Conformance with 52 Pa. Code Chapter 56 Pursuant to 66 Pa. C.S. § 2809(e) and (f)* at Docket No. M-00960890F0011, Order entered July 11, 1997. Thus, we deem it appropriate to reiterate certain items with respect to Chapter 56 of our regulations. Chapter 56 (52 Pa. Code Chapter 56) is applicable to residential accounts. An EGS cannot physically disconnect a residential customer from the electricity grid; therefore, the rules relating to residential service termination are not applicable to EGSs. An EGS may seek to terminate its generation service through an appropriate written notice to the customer and the distribution company. The residential customer can then attempt to repair their relationship with the supplier, seek a new supplier, or default to utility service at capped rates in accordance with the utility's obligations under section 2807(e), 66 Pa. C.S. § 2807(e). The customer would only be disconnected from the electricity grid pursuant to appropriate regulations if the customer failed to meet its obligations to the utility or the EGS that has been designated by the Commission as the provider of last resort.

Additionally, we specifically note that the licensee must comply with, and ensure that its employees, agents, representatives and independent contractors comply with the standards of conduct and disclosure for licensees set out in Commission regulations at 52 Pa. Code § 54.43 that were enacted to protect consumers of this Commonwealth. These standards include, *inter alia*, the provision of timely and accurate information about the services offered by the licensee, the practice of nondiscrimination in service in regard to race, color, religion, national origin, marital status, etc., the safeguarding of a consumer's personal information, and compliance with applicable state and federal consumer protection laws. Also, we take this opportunity to remind the licensee of its agreement to abide by, and to ensure that its employees, representatives, agents and independent contractors abide by all applicable federal and state laws, and

Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of business.

AOBA has provided proofs of publication in Pennsylvania newspapers of general circulation serving the geographical territories affected by the application and proofs of service to the interested parties as required by the Commission.

Regarding the financial and technical requirements of the license application, AOBA has submitted 2008 and 2009 audited financial statements. Additionally, AOBA has supplied detailed professional resume data for its Board of Directors demonstrating the necessary consulting, utility and/or regulatory experience. Sufficient information has been provided by AOBA to demonstrate its financial and technical fitness in order to be licensed as a broker/marketer in the Commonwealth of Pennsylvania.

AOBA did not provide a \$250,000 bond as required by the license application; however, it did provide a bond in the amount of \$10,000 and requests that the Commission reduce its security requirement from \$250,000 to \$10,000. In support of this request, AOBA explains that it will be operating solely as a broker/marketer that acts as an intermediary in the sale and purchase of electricity. AOBA further asserts that it will not take title to electricity nor will AOBA pay electric bills on behalf of its clients.

The Commission believes that the reasoning on which AOBA bases its request for a bond reduction from \$250,000 to \$10,000 is similar to that offered by the other EGSs that have successfully petitioned the Commission for a bonding level of \$10,000. Two of the EGSs are Co-eXprise, Inc. (Co-eX), at Docket No. A-110166, whose request was granted January 20, 2006, and Premier Energy Solutions (Premier), at Docket No. A-110170, whose request was granted July 26, 2006. In the case of Co-eX, the

company explained that, as a consultant, it will provide its customers with an evaluation and selection process from which to select the best positioned licensed electricity supplier/generator and award business. In the case of Premier, the company explained that it requested an EGS license to become EDI certified with the utilities, in order to be able to efficiently and effectively obtain electricity usage information for the customers to whom it provides consulting services. Premier intended to utilize the information to help its customers reduce their overall spending for electricity. Premier also stated that it will not take title to electricity.

The Commission believes that AOBA operates in a similar manner and therefore should be granted similar relief and be permitted to provide a bond or other approved security in the reduced amount of \$10,000. However, AOBA's bonding level is contingent upon the company's business model as described in this Order. If AOBA takes title to generation supply for its customers, and/or charges customers directly for that generation supply, a \$10,000 level of bonding may not be appropriate. Therefore, we will direct AOBA to notify the Commission 45 days prior to a change in its business model, whereby AOBA takes title to generation supply and/or bills its customers directly for that generation supply. This will provide the Commission with an opportunity to review and adjust AOBA's approved bonding level prior to AOBA implementing those changes.

AOBA has provided the required Pennsylvania Emergency Management Agency (PEMA) contact information.

As of September 29, 2010, no protests have been filed.

We find that the applicant:

1. Is fit, willing and able to properly perform the service proposed in conformance with applicable provisions of the Public Utility Code and lawful Commission orders and regulations, specifically

including 52 Pa. Code Chapter 56 (relating to Standards and Billing Practices for Residential Utility Service).

2. Has agreed to lawfully abide by all Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

We further find that the proposed service, to the extent authorized by the license, will be consistent with the public interest and the policy declared in the Electricity Generation Customer Choice and Competition Act.

Upon full consideration of all matters of record, we find that approval of this application is necessary and proper for the service, accommodation and convenience of the public; **THEREFORE,**

IT IS ORDERED:

1. That the application of AOBA Alliance, Inc. is hereby approved, consistent with this Order.
2. That AOBA Alliance, Inc.'s request for a reduction in the bond level from \$250,000 to \$10,000 is hereby granted.
3. That the security amount of \$10,000 shall remain in effect for AOBA Alliance, Inc. as long as AOBA Alliance, Inc. does not make a change to its business model in Pennsylvania, whereby it would take title to generation supply and/or bill its customers directly for generation supply.

4. That a license be issued authorizing AOBA Alliance, Inc. to begin to offer, render, furnish or supply electric generation supplier services to small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial and government customers in the service territories of Allegheny Power, Duquesne Light Company, Metropolitan Edison Company, Pennsylvania Electric Company, Pennsylvania Power Company, PECO Energy Company, PPL Electric Utilities, Inc., and UGI Utilities, Inc. within the Commonwealth of Pennsylvania.

5. That if AOBA Alliance, Inc. proposes to change its business model as described in Ordering Paragraph No. 3, it must notify the Commission at least 45 days prior to the changes. With the notice, the Company must provide an update to the nature and scope of business information that was required by 52 Pa. Code § 54.40(c) to justify the modification granted in Ordering Paragraph No. 3. This will provide the Commission the opportunity to review AOBA Alliance, Inc.'s bonding level and adjust as appropriate, prior to AOBA Alliance, Inc. implementing the proposed changes to its business model.

6. That if AOBA Alliance, Inc. changes its business model without providing to the Commission the notice and information required in Ordering Paragraph No. 5, then the reduced bonding level permitted by Ordering Paragraph No. 2 shall cease effective with the date of the change in the business model. The level of bonding effective at that time shall be \$250,000 or the level required by 52 Pa. Code § 54.40, whichever is greater.

7. That this proceeding at Docket No. A-2010-2197104 be closed.

BY THE COMMISSION,



Rosemary Chiavetta
Secretary

(SEAL)

ORDER ADOPTED: October 14, 2010

ORDER ENTERED: October 15, 2010

RICHARD J. WILLIAMS
DIRECTOR
LAWRENCE T. OLIVER
MANAGER OF FINANCE
DAVID R. EICHENLAUB
UTILITIES RESEARCH MANAGER

COMMONWEALTH OF VIRGINIA



P. O. BOX 1197
RICHMOND, VIRGINIA 23218-1197
TELEPHONE: (804) 371-9295
FAX: (804) 371-9935

STATE CORPORATION COMMISSION DIVISION OF ECONOMICS AND FINANCE

September 19, 2001

Frann G. Francis, Esquire
AOBA Alliance, Inc.
1050 17th Street, N.W., Ste. 300
Washington, DC 20036

Dear Ms. Francis:

By Order dated September 14, 2001, in Case No. PUE010425, the Commission granted AOBA Alliance, Inc., a license to provide aggregation services. Enclosed is the license authorizing participation in the retail access program as described in the Order.

If you have any questions about these licenses please feel free to contact Tommy Oliver at (804) 371-9358.

Sincerely,

Richard J. Williams
Richard J. Williams

Enclosure

cc: Document Control Center

COMMONWEALTH OF VIRGINIA

STATE CORPORATION COMMISSION

LICENSE NO. A-2

AOBA Alliance, Inc.

is hereby granted a license pursuant to the Code of Virginia to provide only the following products and services:

Aggregation Services

in conjunction with the following local distribution company retail access programs:

*Washington Gas Light Company
Columbia Gas of Virginia, Inc.
Virginia Electric and Power Company
American Electric Power-Virginia*

to the following customer classes:

Commercial

under the following terms, conditions, or restrictions:

N/A

This license is not valid authority for the provision of any product or service not identified herein to any customer.

Failure of the licensee to comply with any applicable Federal Energy Regulatory Commission or State Corporation Commission Orders and/or Rules and all state and federal laws may result in the revocation, suspension or modification of this license, the imposition of appropriate fines and/or penalties, or additional actions may be taken as necessary to protect the public interest.

Dated at Richmond, Virginia September 14, 2001

STATE CORPORATION COMMISSION

By 

Commissioner